

Electronic Acknowledgement Receipt

| | |
|---|--|
| EFS ID: | 6100961 |
| Application Number: | 10631243 |
| International Application Number: | |
| Confirmation Number: | 1608 |
| Title of Invention: | Methods and systems for processing and managing corporate action information |
| First Named Inventor/Applicant Name: | Kimberly D. Seaman |
| Customer Number: | 26285 |
| Filer: | Michael Lazzara/Amanda Kernan |
| Filer Authorized By: | Michael Lazzara |
| Attorney Docket Number: | 020420 |
| Receipt Date: | 18-SEP-2009 |
| Filing Date: | 31-JUL-2003 |
| Time Stamp: | 16:06:40 |
| Application Type: | Utility under 35 USC 111(a) |

Payment information:

| | |
|--|-----------------|
| Submitted with Payment | yes |
| Payment Type | Deposit Account |
| Payment was successfully received in RAM | \$1920 |
| RAM confirmation Number | 2288 |
| Deposit Account | 111110 |
| Authorized User | |

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

Charge any Additional Fees required under 37 C.F.R. Section 1.16 (National application filing, search, and examination fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.17 (Patent application and reexamination processing fees)

File Listing:

| Document Number | Document Description | File Name | File Size(Bytes)/Message Digest | Multi Part /.zip | Pages (if appl.) |
|-----------------|----------------------|---------------------------|--|------------------|------------------|
| 1 | Extension of Time | Amendment_transmittal.pdf | 246678 455c3ee03308c5f2ee293412e2b5ce1c47ca7f68 | no | 4 |

Warnings:**Information:**

| | | | | | |
|---|---|---------|---|----|---|
| 2 | Request for Continued Examination (RCE) | RCE.pdf | 138509 efabb1b6943d0de26f40e19a5bace0bdcc627f8b2 | no | 1 |
|---|---|---------|---|----|---|

Warnings:

This is not a USPTO supplied RCE SB30 form.

Information:

| | | | | | |
|---|--|-------------------------------|---|-----|----|
| 3 | | Response_to_Office_Action.pdf | 2027974 b1a9d9a9c1c8e837ad621a57b80c2e0748982c09 | yes | 26 |
|---|--|-------------------------------|---|-----|----|

Multipart Description/PDF files in .zip description

| | Document Description | Start | End |
|--|--|-------|-----|
| | Amendment Submitted/Entered with Filing of CPA/RCE | 1 | 1 |
| | Claims | 2 | 12 |
| | Applicant Arguments/Remarks Made in an Amendment | 13 | 26 |

Warnings:**Information:**

| | | | | | |
|---|--|----------------------|--|-----|---|
| 4 | | Supplemental_IDS.pdf | 746289 86c45708e9113ad21a174225f0b023de39cf2959 | yes | 7 |
|---|--|----------------------|--|-----|---|

Multipart Description/PDF files in .zip description

| | Document Description | Start | End |
|--|--|-------|-----|
| | Transmittal Letter | 1 | 2 |
| | Information Disclosure Statement (IDS) Filed (SB/08) | 3 | 7 |

Warnings:**Information:**

| | | | | | |
|---|---------------|---------------------------|---|----|----|
| 5 | NPL Documents | 12-117387_Application.pdf | 4444552 d80735b2fec22cf9bc2ac31ce555d62dc28681ad | no | 50 |
|---|---------------|---------------------------|---|----|----|

Warnings:**Information:**

| | | | | | |
|---|---------------|---------------------------|---|----|----|
| 6 | NPL Documents | 11-237501_Application.pdf | 5076967 4a3b2024c8c7ad1ad7dd9d14a8574e4f31f 77cf2 | no | 43 |
|---|---------------|---------------------------|---|----|----|

Warnings:

Information:

| | | | | | |
|---|---------------|---------------------------|---|----|----|
| 7 | NPL Documents | 12-019964_Application.pdf | 4583531 25e704ccb22deb617a394f651e55cfb2adb 40ebc | no | 55 |
|---|---------------|---------------------------|---|----|----|

Warnings:

Information:

| | | | | | |
|---|---------------|----------------------------|--|----|----|
| 8 | NPL Documents | 10-631165_NOA_01-06-09.pdf | 2002948 fb0d07d9ed0066df892164b62a935ed87a10 18c74 | no | 21 |
|---|---------------|----------------------------|--|----|----|

Warnings:

Information:

| | | | | | |
|---|---------------|---|--|----|---|
| 9 | NPL Documents | 020673_July_1_2009_Notice_of_Allowance_10_631_165.pdf | 692010 da68f286d3159b8c410562d7d26892a9987 6657d | no | 7 |
|---|---------------|---|--|----|---|

Warnings:

Information:

| | | | | | |
|----|---------------|--|--|----|---|
| 10 | NPL Documents | Business_editors_high_tech_writers.pdf | 350819 f6edb522fb2e6a9e08195e951b3695a7f6fa e3af | no | 4 |
|----|---------------|--|--|----|---|

Warnings:

Information:

| | | | | | |
|----|---------------|-------------------------|--|----|---|
| 11 | NPL Documents | CIPN_tricontinental.pdf | 220985 fe04b5f4753ae5a5fcfd02be7bc4db467cc9 eb98 | no | 1 |
|----|---------------|-------------------------|--|----|---|

Warnings:

Information:

| | | | | | |
|----|---------------|------------------------------|--|----|---|
| 12 | NPL Documents | Bankers_Systems_3-4-2002.pdf | 389309 5a228b4331889aaf2f092e624b712671016 a0baa | no | 3 |
|----|---------------|------------------------------|--|----|---|

Warnings:

Information:

| | | | | | |
|----|---------------|-------------|--|----|---|
| 13 | NPL Documents | ICI_org.pdf | 241645 b4d3821a26ed7645648795960a1017bef57 505ee | no | 2 |
|----|---------------|-------------|--|----|---|

Warnings:

Information:

| | | | | | |
|----|---------------|--------------|--|----|---|
| 14 | NPL Documents | Vujovich.pdf | 331879 7444b2287cf5d8a95418fcfd06b2d499fd ec2a | no | 3 |
|----|---------------|--------------|--|----|---|

Warnings:

Information:

| | | | | | |
|----|---------------|------------------|--|----|---|
| 15 | NPL Documents | Choice_Point.pdf | 259504 0eed80bbd705126484f7b8c056b91db125 d6360f | no | 2 |
|----|---------------|------------------|--|----|---|

Warnings:

Information:

| | | | | | |
|----|---------------|-----------------------------------|--|----|---|
| 16 | NPL Documents | NY_Bankers_Service_09-22-2003.pdf | 325179 03a281b76670b26ba793689f36d84b8fa02 7a955 | no | 2 |
|----|---------------|-----------------------------------|--|----|---|

Warnings:

Information:

| | | | | | |
|----|---------------|--------------------------------|--|----|---|
| 17 | NPL Documents | Bankers_Systems_06-13-2002.pdf | 318233 131c32f832452ffa67e9748a6482fb4f34b48 31c | no | 2 |
|----|---------------|--------------------------------|--|----|---|

Warnings:

Information:

| | | | | | |
|----|---------------|------------|--|----|---|
| 18 | NPL Documents | Pallay.pdf | 263114 ab3f74e08dc75ff0f07db5c08d96ba7e83d3 e055 | no | 2 |
|----|---------------|------------|--|----|---|

Warnings:

Information:

| | | | | | |
|----|---------------|-----------|--|----|---|
| 19 | NPL Documents | Bruce.pdf | 426906 5217ca3a050a68c59479116dd9facfbfc808 7d55 | no | 6 |
|----|---------------|-----------|--|----|---|

Warnings:

Information:

| | | | | | |
|----|---------------|-------------------------|--|----|---|
| 20 | NPL Documents | Holistic_Compliance.pdf | 770069 2b2f3f8ec3e9c36a8427162f8d44e8650527 aff3 | no | 5 |
|----|---------------|-------------------------|--|----|---|

Warnings:

Information:

| | | | | | |
|----|---------------|---------------------|--|----|---|
| 21 | NPL Documents | PFPC_10-13-2003.pdf | 201854 ddf417e650dc07bca244d27357e27640e9a 9295c | no | 1 |
|----|---------------|---------------------|--|----|---|

Warnings:

Information:

| | | | | | |
|----|---------------|---------------|--|----|---|
| 22 | NPL Documents | Ackermann.pdf | 155056 c9858d6697efca422dc84c2b60d30dd2e6a af932 | no | 2 |
|----|---------------|---------------|--|----|---|

Warnings:

Information:

| | | | | | |
|----|---------------|---------------------|--|----|---|
| 23 | NPL Documents | PFPC_10-07-2003.pdf | 139096 3c5e9b51d2e925adf1891a74cf393cb5b80 5f115 | no | 1 |
|----|---------------|---------------------|--|----|---|

Warnings:

Information:

| | | | | | |
|----|---------------|--------------------|--|----|---|
| 24 | NPL Documents | PFPC_10-6-2003.pdf | 209254 85a0b19b8493d4533e7ae9e5e21470f9e04 658bd | no | 1 |
|----|---------------|--------------------|--|----|---|

Warnings:

Information:

| | | | | | |
|----|---------------|-------------------------------------|--|----|---|
| 25 | NPL Documents | Tool_Aids_Compliance_10-20-2003.pdf | 177004 c1b804b9ed69af35ff77226218bc9b561b42 42ea | no | 1 |
|----|---------------|-------------------------------------|--|----|---|

Warnings:

Information:

| | | | | | |
|----|---------------|------------------------|--|----|---|
| 26 | NPL Documents | Leswing_10-31-2003.pdf | 187950 f166ad87643a6ad60c89af03e3ab37e06536 1d93 | no | 2 |
|----|---------------|------------------------|--|----|---|

Warnings:

Information:

| | | | | | |
|----|---------------|------------------------|--|----|---|
| 27 | NPL Documents | Leswing_10-24-2003.pdf | 260747 fc31778c26b23d0232133e9c0c0c827456e 9c28c | no | 2 |
|----|---------------|------------------------|--|----|---|

Warnings:

Information:

| | | | | | |
|----|---------------|---------------------|---|----|---|
| 28 | NPL Documents | PFPC_09-29-2003.pdf | 240607 43faff65577155fc8679c4b06b075a92f38cb87 | no | 3 |
|----|---------------|---------------------|---|----|---|

Warnings:

Information:

| | | | | | |
|----|---------------|---------------------|---|----|---|
| 29 | NPL Documents | PFPC_07-24-2003.pdf | 409149 90ff883a63e7aaef2d97c82e70be3e74bd4a8 dea8 | no | 3 |
|----|---------------|---------------------|---|----|---|

Warnings:

Information:

| | | | | | |
|----|---------------|---------------------|--|----|---|
| 30 | NPL Documents | PFPC_05-16-2003.pdf | 291807 78f327ad2d365af4d75fa4743169ec98f588 94b8 | no | 2 |
|----|---------------|---------------------|--|----|---|

Warnings:

Information:

| | | | | | |
|----|---------------|----------------------------------|--|----|---|
| 31 | NPL Documents | Mantas_Anti-Money_Laundering.pdf | 285678 94081157a14c5972bf9b831f47595eb7278 46175 | no | 3 |
|----|---------------|----------------------------------|--|----|---|

Warnings:

Information:

| | | | | | |
|----|---------------|--|--|----|---|
| 32 | NPL Documents | Mantas_Supports_Section_312_of_USA_Patriot_Act.pdf | 200712 c7f875b52d25a1817a52a1eec8393ee4822 3b8f1 | no | 2 |
|----|---------------|--|--|----|---|

Warnings:

Information:

| | | | | | |
|----|---------------|---------------|--|----|---|
| 33 | NPL Documents | Protiviti.pdf | 253723 417ade0e9f609343bf2fc36d7270f260e60 bd1 | no | 2 |
|----|---------------|---------------|--|----|---|

Warnings:

Information:

| | | | | | |
|----|---------------|---------------------------------|--|----|---|
| 34 | NPL Documents | Krissah_Williams_07-31-2002.pdf | 220657 de4c42c/b28eb2b474e2f89665b922a77d4 921b0 | no | 3 |
|----|---------------|---------------------------------|--|----|---|

Warnings:

Information:

| | | | | | |
|----|---------------|---------------------------------|--|----|---|
| 35 | NPL Documents | Memorandum_dated_May_2_2003.pdf | 926567 631b61fb0d065d5e91a12e4115f0b08cfb7 04d19 | no | 8 |
|----|---------------|---------------------------------|--|----|---|

Warnings:

Information:

| | | | | | |
|----|---------------|-------------------------------------|---|----|---|
| 36 | NPL Documents | Memorandum_dated_August_12_2003.pdf | 582196 ec9725d0ec5a1da4142df43cf3c18d60d58 8c82 | no | 6 |
|----|---------------|-------------------------------------|---|----|---|

Warnings:

Information:

| | | | | | |
|----|---------------|-------------------------------------|---|----|----|
| 37 | NPL Documents | Memorandum_dated_January_9_2004.pdf | 1395917 9e540e55533b7d88b6e6ac80a95b1e29832 b2ae3 | no | 11 |
|----|---------------|-------------------------------------|---|----|----|

Warnings:

Information:

| | | | | | |
|----|---------------|----------|--|----|----|
| 38 | NPL Documents | Kini.pdf | 958508 3e4324d2857fd120d52f98555011c40fdf6e c989 | no | 12 |
|----|---------------|----------|--|----|----|

Warnings:

Information:

| | | | | | |
|----|---------------|--------------|--|----|---|
| 39 | NPL Documents | Actimize.pdf | 737485 41707dc039e59dd9269c3ab7d18bcd93 b8c0a7 | no | 4 |
|----|---------------|--------------|--|----|---|

Warnings:

Information:

| | | | | | |
|----|---------------|-------------------------------------|--|----|---|
| 40 | NPL Documents | Paul_Adams_Anti-Terrorist_Rules.pdf | 459715 38a3e9c68cb6ede95c9643533252e09bff17 567a | no | 4 |
|----|---------------|-------------------------------------|--|----|---|

Warnings:

Information:

| | | | | | |
|----|---------------|----------------------------------|---|----|----|
| 41 | NPL Documents | OA_dated_10_09_07_for_020673.pdf | 1099603 161b0335f2cc631bee66df25d180d249284 dc7e9 | no | 11 |
|----|---------------|----------------------------------|---|----|----|

Warnings:

Information:

| | | | | | |
|-------------------------------------|-------------------------|---|---|----------|----|
| 42 | NPL Documents | OA_dated_9_4_08_for_030991-A.pdf | 1475258 e1d7f552d341ca12a4a3fec2f46180d81027c5d2 | no | 17 |
| Warnings: | | | | | |
| Information: | | | | | |
| 43 | NPL Documents | 030991-BCON_May_28__2009_Office_Action.pdf | 2139531 a1a3be03c/f2b3fb8bdd4a3e3c2f7942f9c9e60 | no | 23 |
| Warnings: | | | | | |
| Information: | | | | | |
| 44 | NPL Documents | OA_dated_12_30_08_for_070045.pdf | 2461101 d97cf80fe40f1f99bab64837bbad275d738fc2f7 | no | 29 |
| Warnings: | | | | | |
| Information: | | | | | |
| 45 | NPL Documents | 030991-BCON_April_9__2009_Office_Action.pdf | 1248953 fe5746a61f39d1c7f76428e90e68462df67a56d5 | no | 14 |
| Warnings: | | | | | |
| Information: | | | | | |
| 46 | NPL Documents | 030991-BCON_Sept_15__2009_Office_Action.pdf | 2009345 2a2130e15aeb191eb85a1176ec482bde65871d09 | no | 20 |
| Warnings: | | | | | |
| Information: | | | | | |
| 47 | Fee Worksheet (PTO-875) | fee-info.pdf | 31872 4dd240d6694e4947542fdc4fff862153db928e55 | no | 2 |
| Warnings: | | | | | |
| Information: | | | | | |
| Total Files Size (in bytes): | | | | 42616445 | |

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.